

— SEP. 29, 2005 10:08AM

866 741 0075
RECEIVED
CENTRAL FAX CENTER

NO. 4402 P. 1

SEP 29 2005

Docket No. 740709-531

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of Koji Abe

Application Serial No.: 10/521,797

Filed: January 21, 2005

For: LITHIUM SECONDARY BATTERY

) ATTENTION:
) OFFICE OF INITIAL PATENT
) EXAMINATION'S FILING
) RECEIPT CORRECTIONS
)
)
) Group Art Unit: 1745

REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Enclosed is a copy of the Official Filing Receipt marked to show a correction that is needed. The correction is as follows: The fourth inventor's name is not correct.

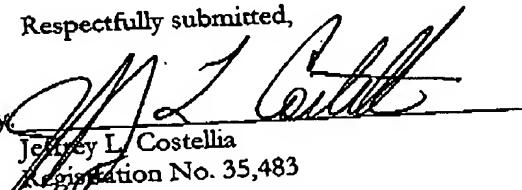
The Assignee's name and residence should read: --Yasuo MATSUMORI--.

Attached hereto is a copy of the Application Data Sheet, as enclosed with the application as filed.

Issuance of a corrected Official Filing Receipt is respectfully requested.

Respectfully submitted,

By:

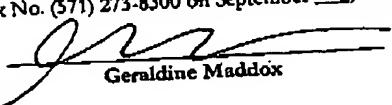

Jeffrey L. Costello
Registration No. 35,483

Date: September 29, 2005

NIXON PEABODY LLP
401 9TH Street, N.W., Suite 900
Washington D.C. 20004-2128
Telephone: 202-585-8000

CERTIFICATE OF TRANSMISSION

I hereby certify that this correspondence is being facsimile transmitted to the United States Patent and Trademark Office:
Fax No. (571) 273-8300 on September 29, 2005.


Geraldine Maddox

W678401.1

SEP. 29, 2005 10:09AM

866 741 0075

NO. 4402 P. 6
Page 1 of 3



UNITED STATES PATENT AND TRADEMARK OFFICE

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY.DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/521,797	01/21/2005	1745	900	740709-531		7	1

CONFIRMATION NO. 5056

FLUNG RECEIPT



0000000016544862

22204
NIXON PEABODY, LLP
401 9TH STREET, NW
SUITE 900
WASHINGTON, DC 20004-2128

Date Mailed: 07/20/2005

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Koji Abe, Yamaguchi, JAPAN;
Takashi Hattori, Yamaguchi, JAPAN;
Takaaki Kuwata, Yamaguchi, JAPAN;
Yasuo Matsunori, Yamaguchi, JAPAN;
Yasuo Matsunori

Assignment For Published Patent Application

The Industries, Ltd., Ube-shi, Yamaguchi, JAPAN

Patent Attorneys: The patent practitioners associated with Customer Number 22204.

11. Priority date as claimed by applicant

...bility data as claimed by applicant. Illustration is a 371 of PCT/JP03/09739 07/31/2003

MAN 2003 222610 07/31/2002

Projected Publication Date: 10/27/2005

New Publication Request: No

Early Publication Request: No

BEST AVAILABLE COPY

Title

Lithium secondary battery

Preliminary Class

429

PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process simplifies the filing of patent applications on the same invention in member countries, but does not result in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15****GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the

APPLICATION DATA SHEET

Electronic Version v14
Stylesheet Version v14.1

*Applicant Information:*Inventor 1:

Applicant Authority Type:	Inventor
Citizenship:	JP
Given Name:	Koji
Family Name:	ABE
City of Residence:	Yamaguchi
Country of Residence:	JP
Address-1 of Mailing Address:	c/o Ube Chemical Factory, Ube Industries, Ltd.,
Address-2 of Mailing Address:	1978-10, O-Aza Kogushi
City of Mailing Address:	Ube-shi, Yamaguchi
State of Mailing Address:	
Postal Code of Mailing Address:	755-8633
Country of Mailing Address:	JP

Phone:

Fax:

E-mail:

Inventor 2:

Applicant Authority Type:	Inventor
Citizenship:	JP
Given Name:	Takashi
Family Name:	HATTORI
City of Residence:	Yamaguchi
Country of Residence:	JP
Address-1 of Mailing Address:	c/o Ube Chemical Factory, Ube Industries, Ltd.,
Address-2 of Mailing Address:	1978-10, O-Aza Kogushi
City of Mailing Address:	Ube-shi, Yamaguchi
State of Mailing Address:	
Postal Code of Mailing Address:	755-8633
Country of Mailing Address:	JP

Phone:

Fax:

E-mail:

Inventor 3:

Applicant Authority Type:	Inventor
Citizenship:	JP
Given Name:	Takaaki
Family Name:	KUWATA
City of Residence:	Yamaguchi
Country of Residence:	JP
Address-1 of Mailing Address:	c/o Ube Chemical Factory, Ube Industries, Ltd.,
Address-2 of Mailing Address:	1978-10, O-Aza Kogushi
City of Mailing Address:	Ube-shi, Yamaguchi
State of Mailing Address:	
Postal Code of Mailing Address:	755-8633
Country of Mailing Address:	JP

Phone:

Fax:

E-mail:

Inventor 4:

Applicant Authority Type:	Inventor
Citizenship:	JP
Given Name:	Yasuo
Family Name:	MATSUMORI
City of Residence:	Yamaguchi
Country of Residence:	JP
Address-1 of Mailing Address:	c/o Ube Chemical Factory, Ube Industries, Ltd.,
Address-2 of Mailing Address:	1978-10, O-Aza Kogushi
City of Mailing Address:	Ube-shi, Yamaguchi
State of Mailing Address:	
Postal Code of Mailing Address:	755-8633
Country of Mailing Address:	JP

Phone:

Fax:

E-mail:

Correspondence Information:

Customer Number: 22204 *22204*

Application Information:

Title of Invention: LITHIUM SECONDARY BATTERY

Application Type: regular, utility

Attorney Docket Number: 740709-531

Botanic Information:

Publication Information:

Suggested Figure for Publication -

Suggested Classification -

Suggested Technology Center -

Total Number of Drawing Sheets -

Representative Information:

practitioner(s) at Customer Number:

22204 *22204*

as our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

Domestic Priority Information:

This is a National Stage of US application number PCT/JP2003/009739, filed 2003-07-31, now Pending.

Foreign Priority Information:

Doc.No:2002-222510; Country - JP; Date: 2002-07-31 us-priority-claimed

SEP. 29, 2005 10:09AM

866 741 0075

NO. 4402Page. 5f 4

Assignee Information:

Assignee 1:

Organization Name: Ube Industries, Ltd.
Address-1 of Mailing Address: 1978-96, O-Aza Kogushi
Address-2 of Mailing Address:
City of Mailing Address: Ube-shi, Yamaguchi
State of Mailing Address:
Postal Code of Mailing Address: 755-8633
Country of Mailing Address: JP
Phone:
Fax:
E-mail: